

FWA #: **FWA00008616**
Institution: **Texas Dept of State Hlth Services**
Expires: **12/14/2017**

OMB No. 0990-0278
Approved for use through June 30, 2014

Federalwide Assurance (FWA) for the Protection of Human Subjects

1. Institution Filing Assurance

Legal Name: **Texas Dept of State Hlth Services**
City: **Austin** State/Province: **TX** Country: **USA**

2. Institutional Components

List below all components over which the Institution has legal authority that operate under a different name. Also list with an asterisk (*) any alternate names under which the Institution operates.

NOTE: The Signatory Official signing this Assurance must be legally authorized to represent the Institution providing this Assurance and all components listed below.

Name of Component or Alternate Names Used	City	State (or Country if Outside U.S.)	
South Texas Healthcare Ctr	Harlingen	TX	A
Texas Ctr for Infectious Diseases	San Antonio	TX	A
Austin State Hosp	Austin	TX	A
Big Spring State Hosp	Big Spring	TX	A
Kerrville State Hosp	Kerrville	TX	A
North Texas State Hosp	Wichita Falls & Vernon	TX	A
Rio Grande State Ctr	Harlingen	TX	A
Rusk State Hosp	Rusk	TX	A
Waco Ctr for Youth	Waco	TX	A
Terrell State Hospital	Terrell	TX	A
San Antonio State Hospital	San Antonio	TX	A
Abilene State Supported Living Center	Abilene	TX	A
Austin State Supported Living Center	Austin	TX	A
Brenham State Supported Living Center	Brenham	TX	A
Corpus Christi State Supported Living Center	Corpus Christi	TX	A
Denton State Supported Living Center	Denton	TX	A
El Paso State Center	El Paso	TX	A
Lubbock State Supported Living Center	Lubbock	TX	A

Lufkin State Supported Living Center	Lufkin	TX	A
Mexia State Supported Living Center	Mexia	TX	A
Richmond State Supported Living Center	Richmond	TX	A
San Angelo State Supported Living Center	San Angelo	TX	A
San Antonio State Supported Living Center	San Antonio	TX	A

3. Statement of Principles

This Institution assures that all of its activities related to human subjects research, regardless of the source of support, will be guided by the following statement of principles governing the institution in the discharge of its responsibilities for protecting the rights and welfare of human subjects of research conducted at or sponsored by the institution. (indicate below)

The Belmont Report

4. Applicability

(a) This Assurance applies whenever this Institution becomes engaged in human subjects research conducted or supported by any U.S. federal department or agency that has adopted the U.S. Federal Policy for the Protection of Human Subjects (also known as the Common Rule), unless the research is otherwise exempt from the requirements of the Common Rule or the department or agency conducting or supporting the research determines that the research shall be conducted under a separate assurance.

(b) Optional: This Institution elects to apply the following to all of its human subjects research regardless of the source of support, except for research that is covered by a separate assurance:

The Common Rule (see section 3 of the Terms of the FWA for a list of U.S. federal departments and agencies that have adopted the Common Rule and the applicable citations to the Code of Federal Regulations)

5. Assurance of Compliance with the Terms of the Federalwide Assurance

(a) This Institution assures that whenever it engages in research to which this Assurance applies, it will comply with the Terms of the Federalwide Assurance (contained in a separate document on the Office for Human Research Protections (OHRP) website).

6. Designation of Institutional Review Boards (IRBs)

This Institution assures that it will rely upon only IRBs registered with OHRP for review of research to which this FWA applies. This institution (a) designates the following internal IRB(s) for review of research under this Assurance; or (b) does not have an internal IRB and designates the following external IRB for review of all research to which this FWA applies or, if multiple external IRBs are relied upon, the following external IRB that reviews the largest percentage of research to which this FWA applies.

NOTE: Institutions designating internal IRBs do not need to designate any of the external IRBs upon which it relies.

HHS IRB Registration Number	Name of IRB as Registered with HHS	Is the IRB Internal or External to the Institution?
IRB00000183	Centers for Disease Control & Prevention IRB #1 - A	E
IRB00000184	Centers for Disease Control & Prevention IRB #2 - B	E
IRB00000185	Centers for Disease Control & Prevention IRB #3 - C	E
IRB00000186	Centers for Disease Control & Prevention IRB #4 - NIOSH	E
IRB00000187	Centers for Disease Control & Prevention IRB #5 - NCHS	E
IRB00000188	Centers for Disease Control & Prevention IRB #6 - G	E
IRB00000308	U of Texas Hlth Science Ctr at Houston IRB #1	E
IRB00000397	Texas A&M U - College Station IRB #1 - College Station IRB #1 - College	E

	Station IRB #1 - College Station IRB #1	
IRB00000702	U of North Texas Hlth Science Ctr at Fort Worth IRB #1	E
IRB00002724	Centers for Disease Control & Prevention IRB #7 - S	E
IRB00003763	U of Texas Hlth Science Ctr at Houston IRB #2	E
IRB00003870	Texas A&M U - College Station IRB #3 - College Station IRB #3 - College Station IRB #3	E
IRB00004604	U of Texas Hlth Science Ctr at Houston IRB #3	E
IRB00004733	Texas Dept of State Hlth Services IRB #1	I
IRB00004734	Texas Dept of State Hlth Services IRB #2	I

7. Human Protections Administrator (e.g., Human Subjects Administrator or Human Subjects Contact Person)

First Name: **Steven** Middle Initial: **H** Last Name: **Lowenstein**
 Degrees or Suffix: Institutional Title: **IRB Administrator: DSHS IRB #1**
 Institution: **Department of State Health Services**
 Telephone: **512 776-2202** FAX: **512 776-7683** E-Mail: **steven.lowenstein@dshs.state.tx.us**
 Address: **PO Box 14937 - MC1955**
 City: **Austin** State/Province: **TX** Country: **USA**

8. Signatory Official (i.e., Official Legally Authorized to Represent the Institution)

I have read and agree to the Terms of the Federalwide Assurance.

I recognize that providing research investigators, IRB members and staff, and other relevant personnel with appropriate initial and continuing education and training about human subject protections will help ensure that the requirements of this Assurance are satisfied.

Acting officially in an authorized capacity on behalf of this Institution and with an understanding of the Institution's responsibilities under this Assurance, I assure protections for human subjects as specified above. The IRB(s) that this institution relies upon will comply with the Terms of the Federalwide Assurance when reviewing research covered by this Assurance and possess appropriate knowledge of the local context in which this Institution's research will be conducted.

All information provided with this Assurance is up-to-date and accurate. I am aware that false statements could be cause for invalidating this Assurance and may lead to other administrative or legal action.

Signature: **Aileen Kishi PhD RN**

Date: **12/07/2012**

First Name: **Aileen**

Middle Initial:

Last Name: **Kishi**

Degrees or Suffix: **PhD RN**

Institutional Title:

Director, Office of Academic Linkage

Institution:

Department of State Health Services

Telephone: **512 834-6720**

FAX: **512 834-6786**

E-Mail: **aileen.kishi@dshs.state.tx.us**

Address: **PO Box 14937 - MC1864**

City: **Austin**

State/Province: **TX**

Country: **USA**

9. FWA Approval

The Federalwide Assurance for the Protection of Human Subjects for Institutions Within the United States submitted to HHS by the above Institution is hereby approved.

Assurance Number: **FWA00008616**

Expiration Date: **12/14/2017**

Signature of HHS Approving Official: **Jean Makle**

Date: **12/14/2012**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0990-0278 . The time required to complete this information collection is estimated to average 30 minutes per response, including the time to review instructions, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave., S.W., Suite 336-E, Washington D.C. 20201, Attention: PRA Reports Clearance