						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ø		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů ů		VIOLATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61	-	2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
1	Failure of the licensee to have DSHS issued ID card at the work site in a public building	LCNS	296.1(e)			x						
2	Conflict of Interest	OWN CNTR INSP MP MPA IC CA	296.31(a),(b)					x				
3	Performing asbestos related activities without a license	ANY	296.41(a)								x	
4	Failure to report a violation	LCNS	296.41(f)								х	
5	Working with a lapsed license	ANY	296.42(f)					X				

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ω		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů C		violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
6	Performing asbestos related activities with expired qualifications for the license (accreditations, physical, or insurance requirements)	LCNS	296.45(a)(1) 296.46(d) 296.47(d)					x				
7	Failure to employ registered workers	SUPR CNTR	296.49(a) 296.50(b)(1)(A)					x				
8	Failure to employ registered workers - lapsed registration	SUPR CNTR	296.49(a) 296.50(b)(1)(A)			x						

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ω		Significant Violation	*Revoca	tion/Suspen:	sion	* Revoc	ation/Suspe	nsion
				ů C		VIOLATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
9	Performing asbestos related activities without employment by a licensed agency	SUPR INSP AMT AMT/PM MP PM	296.49(a),(b)(1)(A 296.53(b)(2) 296.54(b) 296.55(b)(1) 296.56(b)(2) 296.62(b)(1))							x	
10	Failure to supervise compliance with work practices / specifications	SUPR CNTR	296.49(b)(2)(D),(E 296.50(b)(2)(G),(H 296.51(c)(4) 296.52(c)(6)								x	
11	Failure to ensure employee compliance in the use of personal protective equipment	SUPR CNTR	296.49(b)(2)(G) 296.50(b)(2)(B)(i)((I),(J)	(v)			x				
12	Failure to have a licensed supervisor on the job site during asbestos abatement activities	CNTR	296.50(b)(2)(C)					x				

				e		SL3 - Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ritical Viola ation/Suspe	nsion
				ů			Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	0	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
13	Failure to conduct a proper asbestos survey	INSP MP MPA IC CA	296.53(c) 296.56(c) 296.57(c)(6) 296.58(c)(1) 296.59(c)		1			1			x	
14	Failure to be employed by a licensed asbestos laboratory when performing airborne fiber analyses	AMT AMT/PM	296.54(b) 296.55(2)(A)								x	
15	Failure to perform personal air monitoring when hired to collect personal samples	AMT AMT/PM	296.54(c)(2) 296.55(c)								x	
16	Failure to demonstrate competency in the use of phase contrast microscopy analysis when analyzing air samples in the field	AMT AMT/PM	296.54(c)(3) 296.55(c)								x	
17	Failure to provide air sampling results within the required time frame	AMT AMT/PM	296.54(c)(4) 296.55(c)					x				

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ø		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ůn c		VIOLATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	0	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
18	Failure to monitor compliance with work practices / specifications	AMT/PM PM	296.55(c) 296.62(c)(1)		1			1			x	
19	Failure to prepare a proper management plan	MP MPA IC CA	296.56(c) 296.57(c)(2)(A) 296.58(c)(2) 296.59(c)(2)								x	
20	Failure to obtain an agency license prior to employing a licensed individual	MP IC	296.56(b)(2) 296.57(a) 296.58(b)(2) 296.59(a)								x	
21	Failure to provide professional services to building owner	IC CA	296.58(c) 296.59(c)								x	
22	Failure to properly sign specifications	IC	296.58(c)(5)(D)-(E),(7)((A)	x						
23	Failure to provide final close-out documents for each asbestos abatement project	IC CA	296.58(c)(14) 296.59(c)(2)			x						

				a		SL3 - Significant Violation		erious Viola tion/Suspens			ritical Viola ation/Suspe	
				ů ů		VIOIACION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	0	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
24	Failure to notify DSHS of the addition or deletion of the individual consultant designated as the responsible person	CA	296.59(c)(17)		1	x		-				
25	Failure to be licensed as an asbestos transporter to transport ACWM from a public building	TRANS	296.60(a)								x	
26	Failure to deliver asbestos-containing waste material to an approved facility	TRANS	296.60(b)(1)								x	
27	Failure to maintain records of transporting asbestos waste to disposal site	TRANS	296.60(c)(7)					x				
28	Failure to be licensed as an asbestos laboratory before providing analysis of a bulk or air sample	LAB	296.61(a)								x	

				e		SL3 - Significant Violation		erious Viola tion/Suspens			ritical Viola ation/Suspe	
				u c		VIOlation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
29	Providing training without a license	TRNP	296.71(a) 296.71(b)(1)(A)	296.71(b)(1)(A) 296.71(b)(2)(B)							x	L
30	Failure to monitor and maintain records of student attendance	TRNP TRNI	296.71(b)(2)(B) 296.71(h)(2)(B)(vii)			x						
31	Failure to have adequate instructional equipment in good working order	TRNP	296.71(b)(2)(C)			x						
32	Failure to provide an environment conducive to learning	TRNP	296.71(b)(2)(D)			x						
33	Failure to use an approved instructor	TRNP	296.71(b)(2)(E)(i)					x				
34	Instructor teaching with lapsed accreditation or training	TRNP TRNI	296.71(b)(2)(E)(ii) 296.71(h)(2)(A)(i)(2)	[)		x						
35	Failure to administer the course examination at the end of the course	TRNP TRNI	296.71(b)(2)(F)(iii) 296.71(h)(2)(B)(xi)					x				

				Ð		SL3 - Significant Violation		erious Viola tion/Suspens			ritical Viola ation/Suspe	
				nc		Violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
36	Failure to conduct the course during the scheduled hours provided to DSHS	TRNP TRNI	296.71(b)(2)(G),(c 296.71(h)(2)(A)(ii)			x						
37	Failure to provide required curriculum	TRNP TRNI	296.71(b)(2)(I) 296.71(h)(2)(B)(iv))				x				
38	Combining courses of other disciplines	TRNP	296.71(b)(2)(I) 296.73(a)(1)			x						
39	Failure to conduct training course for specified time period	TRNP	296.71(b)(2)(I) 296.73(a)(5)					x				
40	Failure to ensure eligibility of students to take final course examination	TRNP	296.71(b)(2)(I) 296.73(a)(6)			x						
41	Exceeding the minimum trainee - instructor ratio	TRNP	296.71(b)(2)(I) 296.73(a)(8)			x						
42	Failure to provide an instructor led portion of a course	TRNP	296.71(b)(2)(I) 296.73(a)(9)					x				

				e		SL3 – Significant Violation		tion/Suspens			ritical Viola ation/Suspe	
				nc		VIOlation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	Ð	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
43	Using training videos exclusively to provide required course instruction	TRNP	296.71(b)(2)(I) 296.73(a)(9)(B)		•			x				
44	Failure to conduct the worker course in the approved language	TRNP	296.71(b)(2)(I) 296.73(a)(10)			x						
45	Failure to provide hands-on training of a course	TRNP	296.71(b)(2)(I) 296.73(b)					x				
46	Providing training certificates to persons who have not met attendance standards or passed the final exam	TRNP	296.71(b)(2)(I)(ii)								x	
47	Failure to provide certification and or photo-identification card upon completion of the course	TRNP	296.71(b)(2)(O),(P))		x						

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ø		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				on c		VIOLATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
48	Failure to submit course information within the specified time	TRNP	296.71(b)(2)(Q)	·		x						
49	Failure to submit course schedule or cancellation within the specified time	TRNP	296.71(c)			x						
50	Failure to use accredited persons to perform a response action or response to a major fiber release episode other than a small scale, short duration (SSSD) activity	OWN LEA	296.151(a)								x	
51	Failure to properly dispose of asbestos- containing material	OWN	296.191(a)(1) 61.150(b)								x	

						SL3 – Significant		erious Viola			ritical Viola	
				ø		Significant Violation	*Revoca	tion/Suspen:	sion	* Revoc	ation/Suspe	nsion
				nc		VIOlation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	Ð	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
52	Failure to conduct a thorough asbestos inspection or survey	OWN OPR	296.191(a)(2),(b),(),(l)(1) 61.145(a))6.191(a)(2),(b),(c),(d l)(1) 145(a)							x	
53	Failure to remove regulated asbestos- containing materials (RACM) and/or asbestos-containing materials (ACM) prior to demolition or renovation	OWN	296.191(a)(2),(b),(61.145(c)(1)	(c),(g)						x	
54	Failure to remove asbestos-containing building materials (ACBM) from a public building using work practices specified in the rules	OWN	296.191(a)(2),(g) 296.50(b)(2)(B)								x	

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				σ		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				u c		violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
55	Failure to inform workers of the presence of asbestos-containing material (ACM) in a public building	OWN	296.191(a)(3)(A)								x	
56	Failure to contract with or employ persons licensed to perform any asbestos related activity	OWN	296.191(a)(3)(B), 296.211(a)	(I)							x	
57	Failure to confirm regulated area meets the clearance level of 0.01 f/cc before reoccupancy	OWN	296.191(a)(3)(E)								x	
58	Failure to produce inspection report, asbestos survey report, or certification within 10 working days of the request	OWN	296.191(d)(3)			x						

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				Ð		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů no		VIOLATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61	_	2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
59	Failure to have project designed by a licensed asbestos consultant or accredited project designer	OWN	296.191(i),(j),(k)								х	
60	Failure to complete an asbestos survey and management plan requested by DSHS	OWN	296.191(n)					x				
61	Failure to obtain required Material Safety Data Sheets for installation or replacement of building materials	GC	296.191(0)			x						
62	Failure to have an abatement project supervised by a licensed supervisor	SUPR CNTR	296.211(b)(1)					x				

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				a		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů Č		VIOIATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
63	Failure to monitor project	AMT/PM IC CA PM	296.211(b)(1) 296.55(c)(1) 296.58(c)(11) 296.59(c) 296.62(c)(1)								x	
64	Failure to remain on site and in immediate proximity to the abatement activity	SUPR CNTR AMT/PM IC CA PM	296.211(b)(2)(A)			x						
65	Failure to be on site during asbestos abatement activities	SUPR CNTR	296.211(b)(2)(A) 296.49(b)(2)(H) 296.50(b)(2)(B)(i)(I)				x				
66	Failure to supervise the asbestos abatement activity from inside the containment area during at least 25% of each day	SUPR CNTR	296.211(b)(2)(B) 296.49(b)(2)(C) 296.50(b)(2)(F)					x				

						SL3 –		erious Viola			ritical Viola	
				e		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				Suc		VIOlation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	0	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
67	Failure to enter and inspect the containment and monitor asbestos abatement activities every day of the asbestos abatement activity	AMT/PM IC CA PM	296.211(b)(2)(C) 296.55(c)(1) 296.58(c)(11),(12) 296.59(c)(2),(5) 296.62(c)(1)	<u> </u>	<u> </u>			x				
68	Failure of an employee or agent of a licensee to have an annual physical examination, respirator fit test, and/or be properly equipped and trained	CNTR MPA CA	296.211(c) 296.50(b)(2)(F) 296.57(c)(5) 296.59(c)(5)			x						
69	Failure to comply with the use of personal protective equipment	SUPR CNTR	296.211(f) 296.49(b)(2)(C) 296.50(b)(2)(B),(F))				x				
70	Failure to perform personal air monitoring or have a negative exposure assessment	CNTR	296.211(f)(1)								x	

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				Ð		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů Č		VIOIALION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
71	Failure to maintain, in a safe working condition, a sufficient number of respirators of the types and styles approved by NIOSH to meet all requirements for the employees	CNTR	296.211(f)(2)					x				
72	Failure to treat suspect building material found during an asbestos abatement project as ACBM	CNTR SUPR	296.211(g) 296.49(b)(2)(C) 296.50(b)(2)(B),(F)								x	
73	Failure to specify in writing the duties, responsibilities, and authority of the licensed project manager, air monitoring technician, and AMT/PM	IC CA	296.211(h) 296.58(c)(11) 296.59(c)(2)					x				

						SL3 – Significant		erious Viola tion/Suspens			ritical Viola ation/Suspe	
				Ce		Violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
	Violation	Responsible Party	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Respo Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
74	Failure to obtain a license to perform air monitoring services for asbestos abatement project	AMT AMT/PM	296.211(h)(1) 296.54(a) 296.55(a) 296.211(h)(1) 296.54(c)(1)	1							x	
75	Failure to monitor sampling pumps	AMT AMT/PM IC CA						x				
76	Failure to perform project air monitoring - baseline sampling	AMT AMT/PM IC CA	296.211(h)(1)(A) 296.54(c)(1) 296.55(c) 296.58(c)(11) 296.59(c)(2)					x				
77	Failure to perform project air monitoring - ambient sampling	AMT AMT/PM IC CA	296.211(h)(1)(B) 296.232(b)(9) 296.54(c)(1) 296.55(c)(1) 296.58(c)(11) 296.59(c)(2)								x	

						SL3 –		erious Viola			ritical Viola	
				e		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				o u c		VIOlation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	_	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
78	Failure to follow proper clearance protocols	AMT AMT/PM IC CA	296.211(h)(1)(C) 296.54(c)(1) 296.55(c)(1) 296.58(c)(11) 296.59(c)(2)								x	
79	Failure to record in writing that all air monitoring results are provided to the asbestos abatement contractor	AMT AMT/PM IC CA	296.211(h)(1)(D) 296.54(c)(4) 296.55(c)(1) 296.58(c)(11) 296.59(c)(2)			x						
80	Failure to perform an initial visual inspection of the abatement area to confirm that all specified ACM was removed, encapsulated, or enclosed	SUPR CNTR	296.211(h)(3)(A) 296.49(b)(2)(C) 296.50(b)(2)(B)(i)(19(b)(2)(C)							x	

						SL3 – Significant		erious Viola tion/Suspens			ritical Viola ation/Suspe	
				nce		Violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
81	Failure to perform a final visual inspection after the removal of containment and the initial visual inspection to determine if any ACM escaped the containment or any ACWM remains	AMT/PM IC CA PM	296.211(h)(3)(B) 296.55(c)(1) 296.58(c)(11) 296.59(c)(2) 296.62(c)(1)								x	
82	Failure to post required documents at the entrance to the regulated area	CNTR RFCIC	296.211(i) 296.50(b)(2)			x						
83	Failure to submit and receive approval for an alternate control method	IC CA	296.212(a)(1)(A) 296.58(c)(6) 296.59(c)(2)								x	
84	Failure to document a variance on DSHS notification form	IC CA	296.212(a)(1)(B) 296.59(c)(2)			x						

						SL3 – Significant		erious Viola tion/Suspens			ritical Viola ation/Suspe	
				nce		Violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
85	Failure to follow the specifications and plans for a design that varies from the general work practices	SUPR CNTR	296.212(a)(2) 296.49(b)(2)(D) 296.50(b)(2)(B)								x	
86	Failure to remove, encapsulate, or enclose ACBM within a regulated area that is demarcated	SUPR CNTR	296.212(a)(4) 296.49(b)(2)(D) 296.50(b)(2)(B)								x	
87	Permitting an unauthorized person into a regulated area	SUPR CNTR	296.212(a)(5) 296.49(b)(2)(I) 296.50(b)(2)(B)								x	
88	Failure to properly decontaminate and/or cover non-moveable objects inside containment	SUPR CNTR	296.212(b)(2) 296.49(b)(2)(D) 296.50(b)(2)(B),(G))				x				
89	Failure to remove moveable objects	SUPR CNTR	296.212(b)(2) 296.213(b)(4)(A),(E 296.49(b)(2)(D),(E) 296.50(b)(2)(B),(G))				x				

				a)		SL3 – Significant		tion/Suspens			ritical Viola ation/Suspe	
				nce		Violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
90	Failure to maintain critical barriers	SUPR CNTR	296.212(b)(3) 296.49(b)(2)(D) 296.50(b)(2)(B),(G)	1			x	I		1	L
91	Failure to install critical barriers	SUPR CNTR	296.212(b)(3) 296.49(b)(2)(D) 296.50(b)(2)(B),(G)							x	
92	Failure to adequately cover floors within containment	SUPR CNTR	296.212(b)(4) 296.49(b)(2)(D) 296.50(b)(2)(B),(G)				x				
93	Failure to adequately cover walls within containment	SUPR CNTR	296.212(b)(5) 296.49(b)(2)(D) 296.50(b)(2)(B),(G)				x				
94	Failure to install a sufficient number of viewing windows for containment	SUPR CNTR	296.212(b)(5) 296.49(b)(2)(D) 296.50(b)(2)(B),(G)		x						
95	Failure to construct viewing window with transparent, shatter- resistant panel	SUPR CNTR	296.212(b)(5) 296.49(b)(2)(D) 296.50(b)(2)(B),(G)		x						

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				a		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů Č		VIOLATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
96	Failure to properly construct a bag-out area	SUPR CNTR	296.212(b)(6) 296.49(b)(2)(D) 296.50(b)(2)(B)(G)					x				
97	Failure to restrict prohibited activities or items in a regulated area	SUPR CNTR AMT/PM IC CA PM	296.212(b)(7) 296.49(b)(2)(D) 296.50(b)(2)(B),(G) 296.55(c) 296.58(c)(11) 296.59(c)(2),(6) 296.62(c))				x				
98	Failure to ensure that no asbestos contaminated individual or item enters the clean room	SUPR CNTR	296.212(b)(8) 296.49(b)(2)(D) 296.50(b)(2)(B),(G))				x				
99	Failure to properly construct a three-stage decontamination system	SUPR CNTR	296.212(b)(8) 296.49(b)(2)(D) 296.50(b)(2)(B),(G))				x				

						SL3 –		erious Viola			ritical Viola	
				e		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				Suc		Violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	0	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
100	Failure to construct a three-stage decontamination system	SUPR CNTR	296.212(b)(8) 296.49(b)(2)(D) 296.50(b)(2)(B),(G))	1						x	
101	Failure to use a decontamination system	SUPR CNTR	296.212(b)(8) 296.49(b)(2)(D) 296.50(b)(2)(B),(G))							x	
102	Failure to have heating, ventilation, and air conditioning system (HVAC) isolated from the regulated area	SUPR CNTR	296.212(b)(9) 296.49(b)(2)(D) 296.50(b)(2)(B),(G))				x				
103	Failure to display warning signs in both English and Spanish	SUPR CNTR	296.212(b)(10) 296.213(b)(3) 296.232(b)(2) 296.233(d)(2) 296.234(b)(2) 296.49(b)(2) 296.50(b)(2)								x	
104	Failure to follow cleaning procedures	SUPR CNTR	296.212(b)(11) 296.49(b)(2)(D) 296.50(b)(2)(B),(G))				X				

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				a		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				rrence		violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurre	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
105	Failure to establish proper containment ventilation	SUPR CNTR	296.212(b)(12) 296.49(b)(2)(D) 296.50(b)(2)(B),(G)							x	
106	Failure to adequately wet asbestos-containing material (ACM) / regulated asbestos- containing material (RACM)	SUPR CNTR	296.212(c)(1) 296.213(b)(5) 296.232(b)(5) 296.233(d)(5) 296.234(b)(3) 61.150(a)(1) 296.49(b)(2) 296.50(b)(2)								x	
107	Failure to double bag or seal asbestos- containing waste material	SUPR CNTR	296.212(c)(1) 296.213(b)(10) 296.232(b)(8) 296.233(d)(7) 296.234(b)(8) 296.49(b)(2) 296.50(b)(2)					x				

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				e		Significant Violation	*Revocat	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů ů		VIOIACION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61	-	2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
108	Failure to adequately contain asbestos- containing building material (ACBM) / regulated asbestos- containing material (RACM)	SUPR CNTR	296.212(c)(2),(3), 296.213(b)(10),(1 61.150(a)(1)(iii) 296.49(b)(2) 296.50(b)(2)		2)			x				
109	Failure to properly label asbestos containing building material (ACBM)/ regulated asbestos containing building material (RACM)	SUPR CNTR RFCISUPR RFCIC	296.212(c)(4) 296.213(b)(13) 296.231(b)(5)(C) 61.150(a)(1)(iv),(v 296.49(b)(2) 296.50(b)(2))				x				
110	Failure to contain and/or strip RACM from a removed facility component	SUPR CNTR	296.212(c)(5) 61.145(c)(4) 296.49(b)(2) 296.50(b)(2)					x				

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ø		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů Č		VIOIALION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	0	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
111	Failure to conduct a visual inspection to confirm all asbestos- containing building material was completely removed and containerized	SUPR CNTR	296.212(c)(7) 296.232(b)(10) 296.233(d)(8) 296.234(b)(5) 296.49(b)(2) 296.50(b)(2)	1							x	
112	Failure to provide proper temporary storage of asbestos- containing waste material	SUPR CNTR RFCISUPR RFCIC	296.212(c)(8) 296.213(b)(15) 296.231(b)(5)(E) 296.49(b)(2) 296.50(b)(2)	296.212(c)(8) 296.213(b)(15) 296.231(b)(5)(E) 296.49(b)(2)				x				
113	Failure to dispose of asbestos-containing waste material (ACWM) within the required time frame	SUPR CNTR RFCISUPR RFCIC	296.50(b)(2) 296.212(c)(8) 296.213(b)(15)			x						
114	Failure to mark waste transport vehicle				x							

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				e		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				rrence			Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurre	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
115	Failure to perform encapsulation within a containment	SUPR CNTR	296.212(d)(2) 296.49(b)(2)(D) 296.50(b)(2)(B),(G	i)	·						X	
116	Failure to remove loose and hanging asbestos- containing building material before encapsulation or enclosure of ACBM	SUPR CNTR	296.212(d)(3),(e)(296.49(b)(2)(D) 296.50(b)(2)(B),(G								x	
117	Failure to have one fully charged fire extinguisher per 3,000 square feet of containment and at each entrance inside of the containment	SUPR CNTR	296.212(f)(1) 296.49(b)(2)(D) 296.50(b)(2)(B),(G	i)		x						
118	Failure to install ground-fault circuit interrupter (GFCI) units	SUPR CNTR	296.212(f)(2) 296.232(b)(4) 296.233(d)(4) 296.49(b)(2) 296.50(b)(2)			x						

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				a		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů C		Violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61	-	2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
119	Failure to use asbestos caution tape to demarcate the regulated area	SUPR CNTR	296.213(b)(2) 296.232(b)(1) 296.233(d)(1) 296.234(b)(1) 296.49(b)(2) 296.50(b)(2)					x				
120	Failure to decontaminate the regulated area and equipment using HEPA vacuuming or wet cleaning	SUPR CNTR	296.213(b)(9) 296.232(b)(7) 296.233(d)(6) 296.49(b)(2) 296.50(b)(2)								x	
121	Failure to have an RFCI trained worker/supervisor on site	OWN RFCIC	296.231(a)(1)					x				
122	Failure to stop RFCI work practices once flooring material is made friable	RFCISUPR RFCIC	296.231(b)(3),(4)								x	

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				o		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů no		VIOIALIOII	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
123	Failure to establish and/or maintain a regulated area with appropriate signage	SUPR CNTR RFCISUPR RFCIC	296.231(b)(5)(A) 296.50(b)(2) 296.49(b)(2)	1				x				
124	Failure to maintain a copy of Resilient Floor Covering Institute (RFCI) recommended work practices on-site	RFCISUPR RFCIC	296.231(b)(5)(B)			x						
125	Failure to confirm all asbestos-containing building material (ACBM) was removed and containerized	RFCISUPR RFCIC	296.231(b)(5)(D)								x	
126	Failure to obtain appropriate training in Resilient Floor Covering Institute (RFCI) recommended work practices	RFCISUPR RFCIC	296.231(c)(1)					x				

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ø		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				u C		VIOLATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
127	Failure of employees of a K-12 school to have an additional 16 hours of custodial training or a valid asbestos worker or supervisor license prior to removing resilient floor covering materials	OWN LEA	296.231(c)(2)			x						
128	Failure to stop all abatement activities once asbestos- containing material (ACM) becomes regulated asbestos- containing material (RACM)	SUPR CNTR	296.232(a)(2) 296.234(a)(2)(C) 296.49(b)(2) 296.50(b)(2)								x	
129	Failure to have heating, ventilation, and air conditioning system equipment (HVAC) shut down	SUPR CNTR	296.232(b)(3) 296.233(d)(3) 296.49(b)(2) 296.50(b)(2)								x	

						SL3 – Significant		erious Viola			ritical Viola	
				ø		Significant Violation	*Revoca	tion/Suspen:	sion	* Revoc	ation/Suspe	nsion
				suc		FICIALION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	a	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61	_	2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
130	Failure to ensure work practices do not include grinding, abrading, sanding, or pulverizing ACBM	SUPR CNTR	296.232(b)(6) 296.49(b)(2) 296.50(b)(2)								x	
131	Failure to stop all abatement activity after the result of ambient air analysis exceeds a concentration of 0.01 f/cc	SUPR CNTR	296.232(b)(9) 296.49(b)(2) 296.50(b)(2)								x	
132	Failure to provide an ambient air analysis result exceeding a concentration of 0.01 f/cc to the asbestos abatement supervisor	AMT AMT/PM IC CA	296.232(b)(9) 296.54(c)(4) 296.55(c)(1) 296.58(c)(12) 296.59(c)(2)								x	
133	Failure to conduct a visual inspection to confirm all asbestos- containing building material was completely removed and containerized	AMT/PM IC CA PM	296.232(b)(11) 296.233(d)(9)(B) 296.234(b)(6) 296.58(c)(11) 296.59(c)(2) 296.55(c)(1) 296.62(c)(1)								x	

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ø		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů Ú		VIOIALION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
134	Failure to remove any asbestos-containing waste material discovered by the final visual inspection	CNTR SUPR	296.232(b)(12) 296.233(d)(10) 296.234(b)(7) 296.50(b)(2) 296.49(b)(2)	·							х	
135	Failure to meet the requirements to perform a small project or repetitive task	SUPR CNTR	296.233(b) 296.49(b)(2)(F) 296.50(b)(2)(B),(I)	(ii)							x	
136	Performing a small project or repetitive task using a clearance- level assessment that does not comply with §296.233(c)	SUPR CNTR	296.233(c) 296.49(b)(2)(F) 296.50(b)(2)(B),(I)	(ii)							x	
137	Failure to conduct a clearance level assessment within the previous 12-month period	IC CA	296.233(c) 296.58(c)(4),(12) 296.59(c)(2)					x				

						SL3 –		erious Viola			ritical Viola	
				e		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				Suc		Violation	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	0	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
138	Failure to design the clearance-level assessment and directly observe the performance of the project	IC CA	296.233(c)(2)(A) 296.58(c)(4),(12) 296.59(c)(2)								x	
139	Failure of asbestos abatement supervisor to be in the containment directly performing the task or monitoring workers	SUPR CNTR	296.233(c)(4) 296.49(b)(2)(F) 296.50(b)(2)(B),(I)	(ii)							x	
140	Failure to remove asbestos-containing material as a whole component with no breakage or generation of dust	SUPR CNTR	296.234(a)(2)(A),(E 296.49(b)(2)(F) 296.50(b)(2)(B),(I)	-							x	
141	Failure to provide notification of any asbestos abatement or demolition	OWN OPR DA	296.251(a) 61.145(b)(1)								x	

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				Ð		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů ů		VIOIATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
142	Failure to properly complete notification form	OWN OPR DA	296.251(c) 61.145(b)(4)			x						
143	Failure to provide a timely notification of any asbestos abatement or demolition	OWN OPR DA	296.251(e) 61.145(b)(3)					x				
144	Failure to provide a timely amended notification - earlier start date change	OWN OPR DA	296.251(f) 61.145(b)(3)(iv)(B)			x						
145	Failure to provide a timely amended notification – earlier stop date	OWN OPR DA	296.251(g)			x						
146	Failure to provide a timely amended notification - later start date	OWN OPR DA	296.251(h) 61.145(b)(3)(iv)(A)			x						

						SL3 - Significant		erious Viola tion/Suspens			ritical Viola ation/Suspe	
				ICe		Violation	Minor	Moderate		Minor	Moderate	Major
No.	Violation		Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
110.	Violation	Responsible Party	40 CFR Part 61		2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Respo Party		Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
147	Failure to provide a timely amended notification – later stop date	OWN OPR DA	296.251(i)	1		x		1	I			
148	Failure to provide notice of cancellation of any asbestos abatement or demolition project	OWN OPR DA	296.251(j)			x						
149	Failure to submit a timely emergency notification	OWN OPR DA	296.251(m)					x				
150	Failure to provide a timely amended notification – addition of asbestos abatement or demolition	own opr da	296.251(n)			x						
151	Failure to submit timely notification for an ordered demolition	OWN OPR DA	296.251(o) 61.145(a)(3),(b)					x				
152	Failure to provide a copy of the order of demolition	OWN OPR DA	296.251(o) 61.145(b)(4)(xiv)			x						

						SL3 –	SL2 – Se	erious Viola	tion	SL1 – C	ritical Viola	tion
				ω		Significant Violation	*Revoca	tion/Suspens	sion	* Revoc	ation/Suspe	nsion
				ů no		VIOLATION	Minor	Moderate	Major	Minor	Moderate	Major
No.	Violation	Ð	Citation 25 TAC Ch 296	Occurrence	1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
		nsible	40 CFR Part 61	_	2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
		Responsible Party		Violation	3 rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
153	Failure to provide a completed phased project schedule form for a phased project	OWN OPR DA	296.251(q)(1)	•		x						
154	Failure to pay the required notification fee	OWN OPR DA	296.251(r)(1)			x						
155	Interfering with an investigation	ANY	296.271(d)					x				
156	Denial of access	ANY	296.271(e)					X				
157	Failure to provide requested documents to DSHS upon request	OWN CNTR AMT AMT/PM MP MPA IC CA LAB TRNP	296.291(a)			x						

No.	Violation	Responsible Party	Citation 25 TAC Ch 296 40 CFR Part 61	Violation Occurrence		SL3 –	SL2 – Se	2 – Serious Violation			SL1 – Critical Violation		
						Significant	*Revocat	cation/Suspension		* Revocation/Suspension			
						Violation	Minor	Moderate	Major	Minor	Moderate	Major	
					1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*	
					2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*	
					3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*	
158	Failure to maintain required records at a central location	OWN CNTR AMT AMT/PM MPA IC CA LAB TRNP	296.291(a)			x							
159	Failure to notify DSHS in writing of cease of business	OWN CNTR AMT AMT/PM MPA IC CA LAB TRNP	296.291(a)			x							

No.	Violation	Responsible Party	Citation 25 TAC Ch 296 40 CFR Part 61	Violation Occurrence		SL3 – Significant		erious Violation ation/Suspension		SL1 – Critical Violation * Revocation/Suspension		
						Violation	Minor	Moderate	Major	Minor	Moderate	Major
					1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
					2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
					3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
160	Failure to maintain on- site records	CNTR AMT AMT/PM IC CA	296.291(b)(2),(e),	(f),(g))	x		-				
161	Failure to maintain proper training provider records	TRNP	296.291(i)(1)			x						
162	Fraudulently or deceptively obtaining licensure to conduct asbestos related activities	ANY	296.313(a)(1), (2)								x	
163	Failure to have a NESHAP trained representative on site	OWN OPR	61.145(c)(8)					x				
164	Failure to have evidence of completion of required training posted at the demolition or renovation site and/or made available for inspection	ANY	61.145(c)(8)			x						

No.	Violation	Responsible Party	Citation 25 TAC Ch 296 40 CFR Part 61	currence		SL3 - Significant	SL2 – Serious Violation *Revocation/Suspension		SL1 – Critical Violation * Revocation/Suspension			
						Violation	Minor	Moderate	Major	Minor	Moderate	Major
					1st	\$100	\$500	\$750	\$1,000	\$1,000	\$1,500	\$3,000 or R/S*
				ion Occ	2 nd	\$200	\$1,000	\$1,500	\$2,000	\$2,000	\$3,000	\$6,000 or R/S*
				Violation	3rd +	\$500	\$2,500	\$3,750 or R/S*	\$5,000 or R/S*	\$5,000	\$7,500 or R/S*	10,000 or R/S*
165	Discharging visible emissions to the outside air	OPR DEMO	61.150(a)		1						X	
166	Failure to provide waste shipment records	OWN OPR	61.150(e)			x						