



STATE BOARD OF EXAMINERS FOR SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY

Maid Code: MC1982 • PO Box 149347 • Austin, Texas 78714-9347
Phone: (512) 834-6627 • Fax: (512) 834-6677
E-mail: speech@dshs.state.tx.us
www.dshs.state.tx.us/speech

POSITION STATEMENT FOR SUPERVISING LICENSED ASSISTANTS IN SPEECH-LANGUAGE PATHOLOGY

PURPOSE:

The purpose of this document is to provide guidance related to the utilization of speech-language pathology assistants (licensed assistant) in the delivery of speech-language services.

The Act and the Board Rules allow speech-language pathology assistants to **assist** in the delivery of speech-language services and to augment program and treatment activities under the supervision of a licensed speech-language pathologist (supervisor). Although the supervisor may delegate specific tasks to the licensed assistant, the legal and ethical responsibility for all services remains with the supervising speech-language pathologist at all times. Licensed assistants cannot be assigned to or be responsible for their own caseloads. **The purpose of the licensed assistant is not to increase caseload size, but to manage existing caseloads under the direct responsibility of the licensed speech-language pathologist supervisor.**

REFERENCES:

The Act: Texas Occupations Code (TOC), Chapter 401, §401.312
Board Rules: 22 Texas Administrative Code, Chapter 741
Subchapter D, §741.41 relating to the Professional Responsibilities of License Holders
Subchapter D, §741.43 relating to Recordkeeping and Billing
Subchapter D, §741.44 relating to Requirements, Duties, and Responsibilities of Supervisors
Subchapter E, §741.64 relating to Requirements for an Assistant in Speech-Language Pathology License

(NOTE: Failure to follow the requirements of the Act and Board Rules shall result in disciplinary action being proposed by the Board against both the supervising speech-language pathologist and the licensed assistant.)

MAJOR POINTS

- Licensed assistants **do not** have their own caseloads; they assist the licensed speech-language pathologist in managing an existing caseload by implementing documented treatment plans. (Also see Board Position Statement, "Position Statement for Caseload Size in the Schools". Board Position Statements and Frequently Asked Questions available on the Board's webpage at: www.dshs.state.tx.us/speech)
- **The licensed assistant shall not conduct evaluations, even under supervision, since this is a diagnostic and a decision making activity.**
- Licensed assistants may administer routine tests (if the supervisor has determined that the licensed assistant has the training, experience, competence, and if the test developer does not specify a graduate degree examiner), but they may not interpret test results, determine severity of the communication disorder, or make case selection decisions. The supervisor is responsible for ensuring that test administration follows the publisher's instructions (e.g., the examiner must have a graduate degree).

- The supervisor shall make all initial contact, in person, with each patient/client served by the licensed assistant (contact with the patient/client may include evaluation or treatment).
- Licensed assistants may not attend any interdisciplinary team meetings (e.g., ARD's, IFSP conferences, discharge staffings) without the physical presence of the supervisor except as specified in the section regarding representation of special education and speech pathology at ARD meetings [see §741.64(i) and §741.64 (j)]. Licensed assistants who attend ARD meetings with their supervisor may sign the IEP as "in attendance" but shall not check the "agree/disagree" column.
- Effective March, 2006, the licensed assistant may:
 - represent special education and speech pathology at ARD meetings with specific stipulations in place including: the licensed assistant must have at least three (3) years experience as a licensed assistant in the schools;
 - may attend, with written approval of the supervisor, the annual ARD meeting of a student for which he/she provides therapy services;
 - shall present IEP goals and objectives that have been developed by the supervisor and reviewed by the parent; and
 - discontinue the ARD meeting and contact the supervisor when questions or changes arise regarding the IEP document.
- The supervisor shall provide a minimum of two hours per week of supervision, at least one hour of which is in person supervision (direct supervision means that the supervising speech-language pathologist must be supervising the licensed assistant while the licensed assistant is directly working with clients and providing therapy services). This applies whether the licensed assistant's practice is full or part-time.
- The supervisor must maintain supervision and performance records that may be requested by the Board. The record of supervision, and a job description for the licensed assistant, must be provided to the Board upon request. The supervisor is responsible for all client services performed by the licensed assistant. Supervisors shall provide copies of the supervision logs and performance records/evaluations.
- Licensed assistant should not use "SLP-A" or "STA" as indicators of their credentials. They may use "Assistant SLP" or "SLP Assistant" if they need to shorten the title.
- Before allowing a licensed assistant to practice, the supervisor must verify that the
 - speech-language pathology assistant holds a valid, current license;
 - the speech-language pathologist has been approved to supervise the licensed assistant; and
 - the Board has received and approved a completed and signed Supervisory Responsibility Statement (SRS).
- While licensed assistants provide carry-over activities and prepare clinical materials, these tasks may also be performed by other personnel. For example, a classroom aide might provide models for language targets during class activities, prepare picture cards for a communication system, or program a communication device. These activities are not considered "speech-language therapy" and do not count toward the speech-language services specified in a student's IEP.

ADDITIONAL SUPERVISION:

If more than one speech-language pathologist is supervising a licensed assistant, **each speech-language pathologist supervisor must complete the Supervisory Responsibility Statement (SRS) for Assistants Form and be approved** by the Board office before beginning the supervision. All shared supervisory responsibility must be listed on each of the licensed assistant's SRS.

Each supervisor must provide a minimum of two hours per week of supervision, at least one hour of which is in person supervision (**direct supervision means that the supervisor must be supervising the licensed assistant while the licensed assistant is directly working with clients and providing therapy services**). This applies whether the licensed assistant's practice is full or part time.

PROVIDING ADEQUATE SUPERVISION:

To ensure quality service, the supervisor must be provided adequate time for all aspects of managing the caseload. Because of the time required for training and supervising licensed assistants, it will be necessary to reduce the number of patients/clients directly served by the licensed speech-language pathologist in relation to the number of assistants being supervised. Variables that affect the maximum of four (4) individuals who can be supervised appropriately by the licensed speech-language pathologist, may include, but are not limited to:

- the number of hours per week the speech-language pathologist and speech-language assistant(s) are employed;
- specific tasks assigned to licensed assistants (e.g., number, severity, and types of cases for whom they are implementing treatment plans);
- time required of the supervising speech-language pathologist in direct service provision;
- the experience level of the speech-language pathologist supervisor and licensed assistant(s);
- the time limits imposed by supervision requirements (**minimum two hours per week**);
- the number of locations in which services are provided and travel time between locations; and
- other roles and responsibilities assigned to the supervising speech-language pathologist (e.g., supervising interns, attending staff meetings, and fulfilling other campus/district/agency assignments).

The supervising speech-language pathologist should be the *only* professional to decide how many, if any, licensed assistants he/she can supervise and still ensure that all services are provided in compliance with Board Rules and the Texas Occupations Code, Chapter 401. If services are not provided in accordance with these rules, and a complaint is filed, the supervising speech-language pathologist may be subject to disciplinary action.

In the event the supervising speech-language pathologist ceases supervision of the licensed assistant, the supervisor must notify the Board in writing of the change(s) and the licensed assistant must stop practicing immediately. The supervisor shall be responsible for the practice of the licensed assistant until the supervisor notifies the Board of this change.

We can be contacted:

By e-mail at: speech@dshs.state.tx.us

Or by fax at: (512) 834-6677, Attention: SPEECH

Or by phone at: (512) 834-6627

State Board of Examiners for Speech-Language Pathology and Audiology
Mail Code: MC1982
PO Box 149347
Austin, Texas 78714-9347