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| No. | Violation  | Responsible Party | Citation<br>25 TAC<br>Ch.295<br>40 CFR<br>Part 61 | Violation Occurrence | SL3 – Significant Violation | SL2 – Serious Violation<br>*Revocation Suspension |                    |                       | SL1 – Critical Violation<br>* Revocation/Suspension |                    |                       |
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|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
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| 1   | Failure to properly dispose of asbestos-containing material from a public building                               | OWN               | 295.34(b)   |                      |                             |   |                    |                       |   |                    | X                     |
| 2   | Failure to remove regulated asbestos-containing materials (RACM) prior to demolition or renovation               | OWN               | 295.34(a)(1), (2)<br>61.145(c)(1)                 |                      |                             |   |                    |                       |   |                    | X                     |
| 3   | Failure to inform workers of the presence of asbestos-containing material (ACM) in a public building or facility | OWN               | 295.34(b)(2)                                      |                      |                             |   |                    |                       |   |                    | X                     |

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| 4   | Failure to produce inspection report, asbestos survey report, or certification                                 | OWN               | 295.34(c)   |                      | X                           |   |                    |                       |   |                    |                       |
| 5   | Failure to conduct a thorough asbestos inspection or survey  | OWN or OPR        | 295.34(c)<br>61.145(a)                            |                      |                             |   |                    |                       |   | X                  |                       |
| 6   | Failure to contract with or employ a licensed asbestos consultant, management planner, or accredited inspector | OWN               | 295.34(c)(1), (2)                                 |                      |                             |   |                    |                       |   | X                  |                       |

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| 7   | Failure to have project designed by a licensed asbestos consultant or accredited project designer            | OWN               | 295.34(g)   |                      |                             |   |                    |                       |   |                    | <b>X</b>              |
| 8   | Failure to complete an asbestos management plan  | LEA or OWN        | 295.34(h)   |                      |                             |   |                    | <b>X</b>              |   |                    |                       |
| 9   | Failure to obtain required Material Safety Data Sheets for installation or replacement of building materials | GC                | 295.34(i)(1)                                      |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |

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| 10  | Performing asbestos related activities without a license   | ANY               | 295.35(a)   |                      |                             |   |                    |                       |   |                    | X                     |
| 11  | Performing asbestos related activities with expired qualifications for the license (accreditations, physical, or insurance requirements) | LCNS              | 295.35(c)   |                      |                             |   |                    | X                     |   |                    |                       |
| 12  | Failure to report a violation  | LCNS              | 295.35(f)   |                      |                             |   |                    |                       |   |                    | X                     |

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| 13  | Failure to obtain adequate training in Resilient Floor Covering Institute (RFCI) recommended work practices | FLRC              | 295.36(a)(3)                                      |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 14  | Failure to stop RFCI work practices once flooring material is made friable                                  | OWN or FLRC       | 295.36(a)(2)                                      |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |
| 15  | Failure to have an RFCI trained worker/supervisor on site   | FLRC or OWN       | 295.36(a)(3), (5)                                 |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |

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| 16  | Failure of employees of a K-12 school to have additional 16 hours of custodial training or a valid asbestos worker or supervisor license prior to removing resilient floor covering materials | OWN or LEA              | 295.36(a)(4)                                      |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |
| 17  | Failure to follow Resilient Floor Covering Institute (RFCI) recommended work practices  | FLRC or OWN             | 295.36(a)(5), (6)                                 |                      |                             |   |                    |                       |   | <b>X</b>           |                       |
| 18  | Conflict of Interest  | OWN<br>CNTR<br>CA or IC | 295.37(a), (b)<br>295.34(b)(6)                    |                      |                             |   |                    | <b>X</b>              |   |                    |                       |

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| 19  | Fraudulently or deceptively obtaining licensure to conduct asbestos related activities | ANY               | 295.38(d)   |                      |                             |   |                    |                       |   |                    | X                     |
| 20  | Working with a lapsed license  | ANY               | 295.38(h)   |                      |                             |   |                    | X                     |   |                    |                       |
| 21  | Failure to comply with abatement practices and procedures                              | CNTR              | 295.45(f)(2)                                      |                      |                             |   |                    |                       |   |                    | X                     |

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| 22  | Failure to supervise compliance in the use of personal protective equipment | CNTR<br>SUPR            | 295.45(f)(5)<br>295.46(e)(4)   |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 23  | Failure to comply with the use of personal protective equipment             | SUPR                    | 295.46(e)(1)<br>295.58(f)  |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 24  | Failure to provide professional services to building owner                  | IC<br>CA                | 295.47(h)(2)<br>295.48(f)(4)   |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |
| 25  | Failure to conduct a proper asbestos survey                                 | IC<br>CA<br>INSP<br>MPA | 295.47(h)(2)<br>295.48(f)(4)<br>295.50(e)(1), (2)<br>295.53(g)(1), (2) |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |

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|     | project manager, inspector, air monitoring technician or another individual asbestos consultant      |                   |   |                      |                             |   |                    |                       |   |                    |                       |
| 30  | Failure to notify the department of the addition or deletion of the designated individual consultant | CA                | 295.48(b)   |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |
| 31  | Performing asbestos related activities without employment by a licensed agency                       | PM<br>INSP        | 295.49(a)<br>295.50(a)                            |                      |                             |   |                    |                       |   | <b>X</b>           |                       |

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| 32  | Failure of project manager to be on site during asbestos abatement activities | PM                | 295.49(e)   |                      | X                           |   |                    |                       |   |                    |                       |
| 33  | Failure to monitor compliance with work practices / specifications            | PM                | 295.49(e)(3)                                      |                      |                             |   |                    |                       |   | X                  |                       |
| 34  | Failure to obtain a license to perform an asbestos survey                     | ANY               | 295.50(a)   |                      |                             |   |                    |                       |   | X                  |                       |
| 35  | Failure to obtain a license to perform air monitoring services for            | AMT               | 295.52(a)   |                      |                             |   |                    |                       |   | X                  |                       |

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|-----|---|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |   |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |   |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |   |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |   |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
|     | asbestos abatement project  |                   |   |                      |                             |   |                    |                       |   |                    |                       |
| 36  | Failure to be employed by a licensed asbestos laboratory when performing airborne fiber analyses in a public building | AMT               | 295.52(b)   |                      |                             |   |                    |                       |   |                    | X                     |
| 37  | Providing training without a license  | TRNP              | 295.55(a)   |                      |                             |   |                    |                       |   |                    | X                     |

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|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 38  | Failure to have adequate instructional equipment in good working order     | TRNP              | 295.55(d)(2)                                      |                      | X                           |   |                    |                       |   |                    |                       |
| 39  | Exceeding the minimum trainee - instructor ratio                           | TRNP              | 295.55(d)(6)                                      |                      | X                           |   |                    |                       |   |                    |                       |
| 40  | Failure to monitor and maintain records of student attendance              | TRNP              | 295.55(d)(7)(A)                                   |                      | X                           |   |                    |                       |   |                    |                       |
| 41  | Failure to ensure eligibility of students to take final course examination | TRNP              | 295.55(d)(7)(B)                                   |                      | X                           |   |                    |                       |   |                    |                       |

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|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 42  | Failure to provide acceptable training facilities                        | TRNP              | 295.55(d)(8)<br>295.55(f)(5)(C)                   |                      | X                           |   |                    |                       |   |                    |                       |
| 43  | Failure to conduct the worker course in the approved language            | TRNP              | 295.55(d)(9)(B)                                   |                      | X                           |   |                    |                       |   |                    |                       |
| 44  | Combining courses of other disciplines                                   | TRNP              | 295.55(d)(9)(C)<br>295.64(a)(2)                   |                      | X                           |   |                    |                       |   |                    |                       |
| 45  | Using training videos exclusively to provide required course instruction | TRNP              | 295.55(d)(10)(B)<br>295.55(f)(5)(C)               |                      |                             |   |                    | X                     |   |                    |                       |

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|-----|---|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |   |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |   |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
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| 46  | Failure to conduct the course during the scheduled hours provided to the department | TRNP              | 295.55(d)(11)                                     |                      | X                           |   |                    |                       |   |                    |                       |
| 47  | Failure to attend required meeting  | TRNP              | 295.55(e)(1)                                      |                      | X                           |   |                    |                       |   |                    |                       |
| 48  | Failure to submit course schedule or cancellation within the specified time         | TRNP              | 295.55(e)(2)                                      |                      | X                           |   |                    |                       |   |                    |                       |

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| 49  | Failure to use approved instructor                        | TRNP              | 295.55(f)   |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 50  | Instructor teaching with lapsed accreditation or training | TRNP              | 295.55(f)(1)                                      |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |
| 51  | Failure to provide required curriculum                    | TRNP              | 295.55(f)(5)(A), (B)<br>295.64(b)-(h)             |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 52  | Failure to maintain proper training provider records      | TRNP              | 295.55(g)<br>295.62(b)                            |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |

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|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 53  | Failure to maintain records of transporting asbestos waste to disposal site  | TRANS             | 295.56(e)(4)                                      |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 54  | Failure to deliver asbestos-containing waste material to an approved facility  | TRANS             | 295.56(e)(8)                                      |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |
| 55  | Failure to use accredited persons to perform a response action or response to a major fiber release episode other than a small scale, short duration (SSSD) activity | OWN or LEA        | 295.57(a)   |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |

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| 56  | Failure to employ licensed asbestos professionals to perform asbestos-related activities | OWN or DA         | 295.58(a)   |                      |                             |   |                    |                       |   |                    | <b>X</b>              |
| 57  | Failure to employ registered workers - lapsed registration                               | CNTR              | 295.58(a), (c)<br>295.45(b)                       |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |
| 58  | Failure to employ registered workers   | CNTR              | 295.58(a), (c)<br>295.45(b)                       |                      |                             |   |                    | <b>X</b>              |   |                    |                       |

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|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 59  | Failure to have abatement project supervised by a licensed supervisor  | CNTR              | 295.58(b)(1)                                      |                      |                             |   |                    |                       |   |                    |                       |
| 60  | Failure of abatement contractor to have a licensed supervisor on the job site during asbestos abatement activities | CNTR              | 295.58(b)(2)                                      |                      |                             |   |                    |                       |   |                    |                       |
| 61  | Failure of abatement supervisor to be on site during asbestos abatement activities                                 | SUPR              | 295.58(b)(2)                                      |                      |                             |   |                    |                       |   |                    |                       |

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| 62  | Failure of an employee or agent of a licensee to have annual physical examination, respirator fit test, and/or be properly equipped and trained. | LCNS              | 295.58(c)   |                      | X                           |   |                    |                       |   |                    |                       |
| 63  | Failure to include baseline air sampling in specifications   | IC or CA          | 295.58(i)(1)(A)                                   |                      | X                           |   |                    |                       |   |                    |                       |
| 64  | Failure to perform project air monitoring - baseline sampling  | IC or CA<br>AMT   | 295.58(i)(1)(A)<br>295.52(f)(1), (2)              |                      |                             |   | X                  |                       |   |                    |                       |

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| 65  | Failure to perform project air monitoring - ambient sampling | IC or CA<br>AMT   | 295.58(i)(2)(A), (B)                              |                      |       |                             |   |                    |                       |   |                    | X                     |
| 66  | Failure to follow proper clearance protocols                 | IC or CA<br>AMT   | 295.58(i)(3)(A), (B)                              |                      |       |                             |   |                    |                       |   |                    | X                     |
| 67  | Failure to monitor sampling pumps                            | IC or CA<br>AMT   | 295.58(i)(3)(E)                                   |                      |       |                             |   | X                  |                       |   |                    |                       |
| 68  | Failure to post documents - (asbestos information poster)    | CNTR              | 295.58(j)(1)                                      |                      |       | X                           |   |                    |                       |   |                    |                       |

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| No. | Violation  | Responsible Party | Citation<br>25 TAC<br>Ch.295<br>40 CFR<br>Part 61 | Violation Occurrence | SL3 – Significant Violation | SL2 – Serious Violation<br>*Revocation Suspension |                    |                       | SL1 – Critical Violation<br>* Revocation/Suspension |                    |                       |
|-----|--|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 69  | Failure to supervise compliance with work practices / specifications   | CNTR<br>SUPR      | 295.60(a)<br>295.45(f)(2)<br>295.46(e)(1), (2)    |                      |                             |   |                    |                       |   |                    | X                     |
| 70  | Failure to submit and receive approval for an alternate control method | CA or IC          | 295.60(a)(2)                                      |                      |                             |   |                    |                       |   |                    | X                     |
| 71  | Failure to establish a regulated area                                  | CNTR<br>SUPR      | 295.60(a)(3)<br>295.45(f)(2)<br>295.46(e)(2)      |                      |                             |   | X                  |                       |   |                    |                       |
| 72  | Permitting an unauthorized person into a regulated area                | CNTR<br>SUPR      | 295.60(a)(4)<br>295.45(f)(2)<br>295.46(e)(2)      |                      |                             |   |                    |                       |   |                    | X                     |

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|-----|---|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |   |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |   |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |   |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |   |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 73  | Failure to maintain critical barriers                             | CNTR<br>SUPR      | 295.60(b)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 74  | Failure to install critical barriers                              | CNTR<br>SUPR      | 295.60(b)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |
| 75  | Failure to remove moveable objects                                | CNTR<br>SUPR      | 295.60(c)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 76  | Failure to properly cover non-moveable objects inside containment | CNTR<br>SUPR      | 295.60(c)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |

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| No. | Violation  | Responsible Party | Citation<br>25 TAC<br>Ch.295<br>40 CFR<br>Part 61 | Violation Occurrence | SL3 – Significant Violation | SL2 – Serious Violation<br>*Revocation Suspension |                    |                       | SL1 – Critical Violation<br>* Revocation/Suspension |                    |                       |
|-----|--|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 77  | Failure to adequately cover floors and/or walls within containment                       | CNTR<br>SUPR      | 295.60(d)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 78  | Failure to install sufficient number of containment viewing windows                      | CNTR<br>SUPR      | 295.60(d)<br>295.45(f)(2)<br>295.46(e)(2)         |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |
| 79  | Failure to ensure that no asbestos contaminated individual or item enters the clean room | CNTR<br>SUPR      | 295.60(e)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |

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|-----|--|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 80  | Failure to properly construct a three-stage decontamination system | CNTR<br>SUPR      | 295.60(e)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 81  | Failure to construct a three-stage decontamination system          | CNTR<br>SUPR      | 295.60(e)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |
| 82  | Failure to use a decontamination system                            | CNTR<br>SUPR      | 295.60(e)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |
| 83  | Failure to have heating, ventilation, and air conditioning system  | CNTR<br>SUPR      | 295.60(f)<br>295.45(f)(2)<br>295.46(e)(2)         |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |

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| No. | Violation  | Responsible Party | Citation<br>25 TAC<br>Ch.295<br>40 CFR<br>Part 61                        | Violation Occurrence | SL3 – Significant Violation | SL2 – Serious Violation<br>*Revocation Suspension |                    |                       | SL1 – Critical Violation<br>* Revocation/Suspension |                    |                       |
|-----|--|-------------------|--|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |  |                   |  |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |  | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |  | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |  | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
|     | equipment (HVAC) shut down   |                   |  |                      |                             |   |                    |                       |   |                    |                       |
| 84  | Failure to display warning signs   | CNTR<br>SUPR      | 295.60(g)<br>295.45(f)(2)<br>295.46(e)(2)                                |                      |                             |   |                    |                       |   |                    | X                     |
| 85  | Failure to establish proper containment ventilation                          | CNTR<br>SUPR      | 295.60(i)<br>295.45(f)(2)<br>295.46(e)(2)                                |                      |                             |   |                    |                       |   |                    | X                     |
| 86  | Failure to adequately contain asbestos-containing building material (ACBM) / | CNTR<br>SUPR      | 295.60(j)(1), (2), (4),<br>(7), (8)<br>61.150(a)(1)(iii)<br>295.45(f)(2) |                      |                             |   |                    | X                     |   |                    |                       |

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|-----|--|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
|     | regulated asbestos-containing material (RACM)  |                   | 295.46(e)(2)  |                      |                             |   |                    |                       |   |                    |                       |
| 87  | Failure to contain asbestos containing building material (ACBM)/regulated asbestos containing building material (RACM) | CNTR<br>SUPR      | 295.60(j)(1), (2), (4), (7), (8)<br>61.150(a)(1)(iii)<br>295.45(f)(2)<br>295.46(e)(2) |                      |                             |   |                    |                       |   |                    | X                     |
| 88  | Failure to adequately wet asbestos-containing building material (ACBM) / regulated asbestos-containing material (RACM) | CNTR<br>SUPR      | 295.60(j)(1), (8)<br>61.150(a)(1)<br>295.45(f)(2)<br>295.46(e)(2)                     |                      |                             |   |                    |                       |   |                    | X                     |

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|-----|--|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 89  | Failure to properly label asbestos containing building material (ACBM)/ regulated asbestos containing building material (RACM) | CNTR<br>SUPR      | 295.60(j)(3), (5), (6)<br>61.150(a)(1)(iv), (v)<br>295.45(f)(2)<br>295.46(e)(2) |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 90  | Failure to provide proper temporary storage of asbestos-containing waste material  | CNTR              | 295.60(j)(9)<br>295.45(f)(11)   |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 91  | Failure to have one fully charged fire extinguisher per 3,000 square feet of containment                                       | CNTR<br>SUPR      | 295.60(m)(1)<br>295.45(f)(2)<br>295.46(e)(2)                                    |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |

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|-----|---|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |   |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |   |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |   |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |   |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 92  | Failure to perform personal air monitoring                              | CNTR              | 295.60(m)(3)<br>295.45(f)(1), (2)                 |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 93  | Failure to install ground-fault circuit interrupter (GFCI) units        | CNTR<br>SUPR      | 295.60(m)(2)<br>295.45(f)(2)<br>295.46(e)(2)      |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |
| 94  | Failure to provide notification of asbestos abatement or O&M activities | OWN<br>OPR<br>DA  | 295.61(a)<br>61.145(b)(1)                         |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |
| 95  | Failure to provide notification of demolition                           | OWN<br>OPR<br>DA  | 295.61(a)<br>61.145(b)(1)                         |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |

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|-----|--|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 96  | Failure to properly complete notification form                                   | OWN<br>OPR<br>DA  | 295.61(a)<br>61.145(b)(4)                         |                      | X                           |   |                    |                       |   |                    |                       |
| 97  | Failure to provide a timely notification of demolition                           | OWN<br>OPR<br>DA  | 295.61(a), (c)<br>61.145(b)(3)                    |                      |                             |   | X                  |                       |   |                    |                       |
| 98  | Failure to provide a timely notification of asbestos abatement or O&M activities | OWN<br>OPR<br>DA  | 295.61(a), (c)<br>61.145(b)(3)                    |                      |                             |   | X                  |                       |   |                    |                       |
| 99  | Failure to provide a timely amended notification - later start date              | OWN<br>OPR<br>DA  | 295.61(d)<br>61.145(b)(3)(iv)(A)                  |                      | X                           |   |                    |                       |   |                    |                       |

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|-----|--|-------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |  |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |  |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |  |                   |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |  |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 100 | Failure to provide a timely amended notification - earlier start date change | OWN<br>OPR<br>DA  | 295.61(e)<br>61.145(b)(3)(iv)(B)                  |                      | X                           |   |                    |                       |   |                    |                       |
| 101 | Failure to provide a timely amended notification - stop date change          | OWN<br>OPR<br>DA  | 295.61(f)   |                      | X                           |   |                    |                       |   |                    |                       |
| 102 | Failure to submit a timely emergency notification                            | OWN<br>OPR<br>DA  | 295.61(h)   |                      |                             |   | X                  |                       |   |                    |                       |
| 103 | Failure to submit timely notification for an ordered demolition              | OWN<br>OPR<br>DA  | 295.61(i)<br>61.145(a)(3), (b)                    |                      |                             |   | X                  |                       |   |                    |                       |

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|-----|---|-------------------------|---|----------------------|-----------------------------|---|--------------------|-----------------------|---|--------------------|-----------------------|
|     |   |                         |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |   |                         |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
|     |   |                         |   | 2nd                  | \$200                       | \$1,000   | \$1,500            | \$2,000               | \$2,000   | \$3,000            | \$6,000<br>or<br>R/S* |
|     |   |                         |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 104 | Failure to pay the required notification fee              | OWN                     | 295.61(j)(4)                                      |                      | X                           |   |                    |                       |   |                    |                       |
| 105 | Failure to provide contractor documents to the department | CNTR                    | 295.62(c)(1)                                      |                      | X                           |   |                    |                       |   |                    |                       |
| 106 | Failure to retain required records                        | CNTR<br>CA or IC<br>LAB | 295.62  |                      | X                           |   |                    |                       |   |                    |                       |
| 107 | Failure to maintain on-site records                       | CNTR<br>IC or CA        | 295.62(c)(2), (e)<br>295.58(k)<br>295.45(f)(3)    |                      | X                           |   |                    |                       |   |                    |                       |

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|     |   |                   |   |                      |                             | Minor   | Moderate           | Major                 | Minor   | Moderate           | Major                 |
|     |   |                   |   | 1st                  | \$100                       | \$500   | \$750              | \$1,000               | \$1,000   | \$1,500            | \$3,000<br>or<br>R/S* |
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| 108 | Failure to provide consultant records to the department               | IC or CA          | 295.62(e)   |                      | X                           |   |                    |                       |   |                    |                       |
| 109 | Failure to record O&M activity in the O&M manual                      | OWN               | 295.62(f)   |                      | X                           |   |                    |                       |   |                    |                       |
| 110 | Failure to conduct training course for specified time period          | TRNP              | 295.64(a)(6)                                      |                      |                             |   | X                  |                       |   |                    |                       |
| 111 | Failure to administer the course examination at the end of the course | TRNP              | 295.64(j)   |                      |                             |   | X                  |                       |   |                    |                       |

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| 112 | Providing training certificates to persons who have not attended a course                       | TRNP              | 295.65(f)(1)                                      |                      |                             |   |                    |                       |   |                    | X                     |
| 113 | Failure to provide certification and or photo-identification card upon completion of the course | TRNP              | 295.65(f)(1), (2)                                 |                      | X                           |   |                    |                       |   |                    |                       |
| 114 | Failure to submit course information within the specified time                                  | TRNP              | 295.65(f)(3)                                      |                      | X                           |   |                    |                       |   |                    |                       |

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| 115 | Denial of access  | ANY               | 295.68(d)   |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 116 | Interfering with an investigation   | ANY               | 295.68(d)   |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 117 | Failure of the licensee to have department issued ID card at the work site in a public building | LCNS              | 295.68(f)   |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |

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| 118 | Failure to have accreditations or department issued ID card at the work site in a commercial building | ANY               | 295.68(f)   |                      | X                           |   |                    |                       |   |                    |                       |
| 119 | Failure to provide demolition order from the state or local government agency                         | OWN<br>OPR<br>DA  | 61.145(b)(4)(xiv)                                 |                      | X                           |   |                    |                       |   |                    |                       |
| 120 | Failure to contain and/or strip on RACM on a removed facility component                               | CNTR              | 61.145(c)(4)                                      |                      |                             |   | X                  |                       |   |                    |                       |

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| 121 | Failure to have a NESHAP trained representative on site  | OWN or OPR        | 61.145(c)(8)                                      |                      |                             | <b>X</b>  |                    |                       |   |                    |                       |
| 122 | Failure to have evidence of completion of required training posted at the demolition or renovation site and or made available for inspection | ANY               | 61.145(c)(8)                                      |                      | <b>X</b>                    |   |                    |                       |   |                    |                       |
| 123 | Discharging visible emissions to the outside air   | CNTR              | 61.150(a)   |                      |                             |   |                    |                       | <b>X</b>  |                    |                       |

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|     |   |                   |   | 3rd +                | \$500                       | \$2,500   | \$3,750<br>or R/S* | \$5,000<br>or<br>R/S* | \$5,000   | \$7,500<br>or R/S* | 10,000<br>or<br>R/S*  |
| 124 | Failure to mark waste transport vehicle   | OWN or OPR        | 61.150(c)   |                      | X                           |   |                    |                       |   |                    |                       |
| 125 | Failure to provide waste shipment records | OWN or OPR        | 61.150(e)   |                      | X                           |   |                    |                       |   |                    |                       |

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